

Enrollment/Change Form

Thank you for choosing Empire. So that we may quickly and accurately process your enrollment, please complete in full and sign in Section 7.



SECTION 1: REASON FOR ENROLLMENT/CHANGE – Please complete section A, B or C

A. NEW ENROLLMENT/ADDITION – Choose only one reason in bold

| | |
|---|----------------------------|
| <input type="checkbox"/> New hire Applicants in companies with 50 or fewer employees must submit NYS-45, payroll records or W-4 forms to establish employment. | Date of change (MMDDYY) |
| <input type="checkbox"/> Open enrollment | |
| <input type="checkbox"/> Status change – Select only one <input type="checkbox"/> Marriage <input type="checkbox"/> Newborn <input type="checkbox"/> Adoption <input type="checkbox"/> Retirement <input type="checkbox"/> Medicare eligible For Medicare eligible only, answer the following questions: Eligibility criteria – Select only one <input type="checkbox"/> Age 65+ <input type="checkbox"/> Disability <input type="checkbox"/> End stage renal disease Active employee? <input type="checkbox"/> Yes <input type="checkbox"/> No Electing company coverage as primary coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No Electing Medicare-related coverage as primary coverage? ... <input type="checkbox"/> Yes <input type="checkbox"/> No (If company size is under 20 employees and end stage renal disease does not apply, you must choose this option) | |
| <input type="checkbox"/> Right of Election for adult dependents eligible for coverage to age 30 under NYS law | |
| <input type="checkbox"/> Mandatory Right of Election - NYS Qualified dependents only | |
| <input type="checkbox"/> COBRA/NYS Continuation of coverage Nature of COBRA/NYS event | |
| <input type="checkbox"/> Other | |

B. CHANGE – Check all that apply. For all checked boxes below, please supply new information in Sections 3 and 4.

| | | |
|---|--|----------------------------|
| <input type="checkbox"/> Name <input type="checkbox"/> Address <input type="checkbox"/> Primary Care Physician (PCP) (HMO/Direct HMO/Direct POS/Empire POS plans only) | <input type="checkbox"/> Managed Dental Primary Care Dentist (PCD) (If your company offers an Empire Dental plan) | Date of change (MMDDYY) |
|---|--|----------------------------|

C. CANCEL COVERAGE – Select only one

Note: If you are canceling your own coverage, please have your employer fill out an Employee Termination Form. For other cancellations, please check the appropriate box below and enter the name in the Applicant and Family portion in Section 4.

| | |
|---|------------------------|
| Spouse/Dependent <input type="checkbox"/> Death <input type="checkbox"/> Divorce <input type="checkbox"/> Dependent no longer eligible <input type="checkbox"/> Other | Date of event (MMDDYY) |
|---|------------------------|

SECTION 2: BENEFITS SELECTION

Medical Insurance¹ Select only one plan type:

| Small and Large group plans | Large group plans only | Small group plans only |
|--|--|---|
| <input type="checkbox"/> Direct HMO <input type="checkbox"/> HMO <input type="checkbox"/> Empire Total Blue SM Choice (HSA) | <input type="checkbox"/> EPO <input type="checkbox"/> PPO <input type="checkbox"/> Empire Prism SM PPO <input type="checkbox"/> DPOS <input type="checkbox"/> DSPOS <input type="checkbox"/> Empire Prism SM EPO <input type="checkbox"/> Empire Total Blue SM Choice (HRA) | <input type="checkbox"/> Empire PPO <input type="checkbox"/> Empire EPO Essential <input type="checkbox"/> Healthy New York |
| Indemnity – Large group only Select only one coverage type: <input type="checkbox"/> Hospital/Medical or <input type="checkbox"/> Hospital Only <input type="checkbox"/> Other: _____ | | |
| Select only one medical coverage type: <input type="checkbox"/> Individual <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) <input type="checkbox"/> Family | | |

| | | |
|--|---|---|
| Dental Insurance ² Select only one coverage type: | <input type="checkbox"/> PPO Dental <input type="checkbox"/> Managed Dental <input type="checkbox"/> Voluntary Dental <input type="checkbox"/> Other Dental | <input type="checkbox"/> Individual <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) <input type="checkbox"/> Family |
|--|---|---|

| | |
|---|---|
| Vision Insurance ³ Blue View Vision SM Select only one coverage type: | <input type="checkbox"/> Individual <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) <input type="checkbox"/> Family |
|---|---|

¹ Empire will facilitate the opening of a Health Savings Account in your name, as directed by your Employer. ² If your company offers an Empire Dental Plan. ³ If your company offers a Blue View Vision plan.

SECTION 3: APPLICANT INFORMATION

| | | | | | | | | | |
|---|--------------------|--|--|------------------------|---|----------------------------|----------------------------|--|--|
| Last name | | First name | | | M.I. | | Social Security no. | | |
| Sex <input type="checkbox"/> M <input type="checkbox"/> F | Birthdate (MMDDYY) | Marital status <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Domestic Partner (DP) | | Marriage date (MMDDYY) | Enter state and country where married ⁴ → | State | Country | | |
| Street address | | | | | Apt. no. | Home phone no. | | | |
| City | | | | | State | ZIP code | Daytime phone no. | | |
| Occupation | | | | | Primary language | | | | |
| E-mail address (requested for ages 18 and over): | | | | | <input type="checkbox"/> Yes, information may be sent to me electronically. | | | | |
| Please provide a copy of the Medicare (HIB) card. If copies are not attached, we cannot process your Medicare benefits request. | | | | Medicare ID no. | HIB Suffix | Part A coverage start date | Part B coverage start date | | |

SECTION 4: APPLICANT AND FAMILY INFORMATION – Please list yourself and all eligible family members to be enrolled. Attach additional sheets if necessary.

Note: If you've chosen HMO/Direct HMO/Direct POS/DirectShare POS, please provide a primary care physician (PCP) for yourself and for each dependent. Please note that no out-of-network benefits are available to HMO/Direct HMO members except for emergency care. If you've chosen Managed Dental, please provide one Primary Care Dentist (PCD) for you and your dependents.

| | | | | | |
|--|--|---|--------------------------------|---|---|
| APPLICANT | | | | | |
| Primary care physician (PCP) last name | | Primary care physician (PCP) first name | | PCP no. | Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Primary care dentist (PCD) last name | | Primary care dentist (PCD) first name | | PCD no. | Current patient of PCD? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> SPOUSE <input type="checkbox"/> DOMESTIC PARTNER | | | | | |
| Last name | | First name | | M.I. | Social Security no. |
| Sex <input type="checkbox"/> M <input type="checkbox"/> F | Birthdate (MMDDYY) | Primary language, if different | | | |
| PCP last name | | PCP first name | | PCP no. | Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| E-mail address (requested for ages 18 and over): | | | | <input type="checkbox"/> Yes, information may be sent to me electronically. | |
| Please provide a copy of the Medicare (HIB) card. If copies are not attached, we cannot process your Medicare benefits request. | | Medicare ID no. | HIB Suffix | Part A coverage start date | Part B coverage start date |
| DEPENDENT 1 | | | | | |
| Last name | | First name | | M.I. | Social Security no. |
| Sex <input type="checkbox"/> M <input type="checkbox"/> F | Married? <input type="checkbox"/> Yes <input type="checkbox"/> No | Birthdate (MMDDYY) | Primary language, if different | | |
| PCP last name | | PCP first name | | PCP no. | Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| E-mail address (requested for ages 18 and over): | | | | <input type="checkbox"/> Yes, information may be sent to me electronically. | |
| Relationship: <input type="checkbox"/> Child <input type="checkbox"/> Full-time student ⁵ <input type="checkbox"/> Disabled child ⁶ <input type="checkbox"/> Make available age 29 adult dependent child | | | | | |
| Please provide a copy of the Medicare (HIB) card. If copies are not attached, we cannot process your Medicare benefits request. | | Medicare ID no. | HIB Suffix | Part A coverage start date | Part B coverage start date |
| DEPENDENT 2 | | | | | |
| Last name | | First name | | M.I. | Social Security no. |
| Sex <input type="checkbox"/> M <input type="checkbox"/> F | Married? <input type="checkbox"/> Yes <input type="checkbox"/> No | Birthdate (MMDDYY) | Primary language, if different | | |
| PCP last name | | PCP first name | | PCP no. | Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| E-mail address (requested for ages 18 and over): | | | | <input type="checkbox"/> Yes, information may be sent to me electronically. | |
| Relationship: <input type="checkbox"/> Child <input type="checkbox"/> Full-time student ⁵ <input type="checkbox"/> Disabled child ⁶ <input type="checkbox"/> Make available age 29 adult dependent child | | | | | |
| Please provide a copy of the Medicare (HIB) card. If copies are not attached, we cannot process your Medicare benefits request. | | Medicare ID no. | HIB Suffix | Part A coverage start date | Part B coverage start date |
| DEPENDENT 3 | | | | | |
| Last name | | First name | | M.I. | Social Security no. |
| Sex <input type="checkbox"/> M <input type="checkbox"/> F | Married? <input type="checkbox"/> Yes <input type="checkbox"/> No | Birthdate (MMDDYY) | Primary language, if different | | |
| PCP last name | | PCP first name | | PCP no. | Current patient of PCP? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| E-mail address (requested for ages 18 and over): | | | | <input type="checkbox"/> Yes, information may be sent to me electronically. | |
| Relationship: <input type="checkbox"/> Child <input type="checkbox"/> Full-time student ⁵ <input type="checkbox"/> Disabled child ⁶ <input type="checkbox"/> Make available age 29 adult dependent child | | | | | |
| Please provide a copy of the Medicare (HIB) card. If copies are not attached, we cannot process your Medicare benefits request. | | Medicare ID no. | HIB Suffix | Part A coverage start date | Part B coverage start date |

⁵ Child must exceed contractual dependent age and attend accredited college or university. Submit proof with this form. Proof is required annually.

⁶ Please submit Request for Disabled Child form (HAC506) with this form; child age must exceed contractual dependent age.

SECTION 5: OTHER COVERAGE INFORMATION – This section must be completed

Do you, or your family members, currently have, or have had, health insurance in the past 11 months?

Yes No If yes, please complete the following:

| Name(s) of person(s) (first, M.I., last) | Insurance company information | Date coverage | Provided by employer? | Employment status | Contract type |
|---|---|----------------|---|---|--|
| Self | Name Phone Certificate (policy no.) | Began Ended | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Active <input type="checkbox"/> Retiree | <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) |
| <input type="checkbox"/> Spouse <input type="checkbox"/> Domestic Partner | Name Phone Certificate (policy no.) | Began Ended | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Active <input type="checkbox"/> Retiree | <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) |
| Dependent 1 | Name Phone Certificate (policy no.) | Began Ended | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Active <input type="checkbox"/> Retiree | <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) |
| Dependent 2 | Name Phone Certificate (policy no.) | Began Ended | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Active <input type="checkbox"/> Retiree | <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) |
| Dependent 3 | Name Phone Certificate (policy no.) | Began Ended | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Active <input type="checkbox"/> Retiree | <input type="checkbox"/> Individual <input type="checkbox"/> Family <input type="checkbox"/> Employee/Spouse <input type="checkbox"/> Parent/Child(ren) |

SECTION 6: APPLICANT SIGNATURE – I have read the Certification and Insurance Fraud Statement below.

Certification: I certify that I am electing coverage as an employee, or former employee, retiree, current or former dependent of an active employee, or retiree, and am eligible for group coverage under the terms and conditions of the group's contract. I make this election on behalf of all eligible dependents and myself. I understand that I am under a continuing obligation to notify the group of a change in my, or my dependent's, status; such change may result in a change of insurance status with Empire and that failure to make such notification may result in cancellation of the coverage by Empire. Any other Empire coverage will end upon issuance of this coverage. If I do not agree to transfer my other coverage with Empire to this coverage, I understand that this application will not be accepted by Empire.

I understand that if I become Medicare eligible while I am covered under this contract, any benefits I am entitled to under this contract will be reduced by any amounts paid by Medicare for those services, whether or not I apply for or submit a claim to Medicare.

I authorize any health care provider, health care payor or government agency to furnish to Empire or its designee all records pertaining to medical history, services rendered, and payments made regarding me or my dependents for use by Empire to administer the terms of my health benefits contract. I also authorize Empire to disclose such information to an Empire designee, my PCP and other providers, other payors, and the group contract holder, for purposes of continuity of care and medical management, disease management, health benefits contract administration, financial audits, and as otherwise required by law. The authorization in the foregoing sentence is valid for a maximum period of 24 months. If your Empire coverage remains in effect upon the expiration of 24 months from the date of this enrollment form, you may be required to reauthorize Empire or its designees to furnish all such records as described in this paragraph to the parties and for the purposes described in this paragraph for an additional authorization period. All statements and answers in this notice of election are true and are representations made to induce the issuance of the coverage. Any material misrepresentation may result in Empire's cancellation of coverage.

Insurance Fraud Statement: Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any material fact there to, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed \$5,000 and the stated value of the claim or each such violation.

| | | |
|---------------------------------|------------|---------------|
| Applicant signature X | Print name | Date (MMDDYY) |
|---------------------------------|------------|---------------|

EMPLOYER INFORMATION (this section must be filled in by your group benefits administrator)

| | | |
|---|-----------------------------|--------------------------------------|
| Group name | Group no. | Group sub no. |
| Street address | City | State ZIP code |
| Employee no. | Payroll/department location | Applicant's FT employment start date |
| Authorized Group Benefits Administrator signature X | Print name | Date (MMDDYY) |

This page intentionally left blank.